
**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

SCHEDULE 13D

Under the Securities Exchange Act of 1934

PEN Inc.

(Name of Issuer)

Class A Common Stock

(Title of Class of Securities)

706582 103

(CUSIP Number)

Ronald J. Berman
701 Brickell Ave., suite 1550
Miami, Florida 33131
844-273-6462

(Name, Address and Telephone Number of Person
Authorized to Receive Notices and Communications)

December 8, 2016

(Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box. []

Note : Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See §240.13d-7 for other parties to whom copies are to be sent.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

(1) Names of reporting persons	Ronald J. Berman
(2) Check the appropriate box if a member of a group (see instructions) (a) <input checked="" type="checkbox"/> (b) <input type="checkbox"/>	
(3) SEC use only	
(4) CITIZENSHIP OR PLACE OF ORGANIZATION	USA, Florida
Number of shares beneficially owned by each reporting person with (5) Sole voting power	96,595
(6) Shared voting power	none
(7) Sole dispositive power	96,595
(8) Shared dispositive power	none
(9) Aggregate amount beneficially owned by each reporting person	96,595
(10) check if the aggregate amount in Row (9) exceeds certain shares (see instructions)	✓
(11) Percent of class represented by amount in Row (9)	6.9%
(12) Type of reporting person (see instructions)	IN

Item 1. Security and Issuer.

Class A Common Stock of PEN Inc.
701 Brickell Ave., Suite 1550, Miami, Florida 33131

Item 2. Identity and Background.

- (a) Ronald J. Berman
- (b) 701 Brickell Ave., Suite 1550, Miami FL 33131
- (c) Mr. Berman is practicing law as a sole practitioner at 800 Village Square Crossing, Palm Beach Gardens, FL 33410.
- (d) None
- (e) No
- (f) U.S.A., Florida

Item 3. Source or Amount of Funds or Other Consideration.

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Item 4. Purpose of Transaction.

Investment. Other than as described in this Schedule 13D, the Reporting Person does not have any present plans or proposals that relate to or would result in:

- (a) the acquisition by any person of additional securities of the issuer, or the disposition of securities of the Issuer;
- (b) an extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the Issuer or any of its subsidiaries;
- (c) a sale or transfer of a material amount of assets of the issuer or any of its subsidiaries;
- (d) any change in the present board of directors or management of the issuer;
- (e) any material change in the present capitalization or dividend policy of the issuer;
- (f) any other material change in the Issuer's business or corporate structure;
- (g) changes in the issuer's charter, by-laws or instruments corresponding thereto or other actions which may impede the acquisition of control of the Issuer by any person;
- (h) causing a class of securities of the issuer to be de-listed from a national securities exchange or to cease to be authorized to be quoted in an inter-dealer quotation system of a registered national securities association;
- (i) a class of equity securities of the Issuer becoming eligible for termination of registration pursuant to Section 12(g)(4) of the Securities Exchange Act; or
- (j) any action similar to any of those enumerated above.

Item 5. Interest in Securities of the Issuer.

- (a) 1,744 shares (less than 1% of the shares of Class A common stock outstanding) are purchasable under options that are presently exercisable, the balance of the 96,595 shares representing 6.9% of the outstanding Class A common shares, are directly owned.
 - (b) Mr. Berman has sole voting and dispositive power.
 - (c) During the last 60 days, the reporting person has acquired shares of Class A common stock in open market purchases as listed below. In addition, the reporting person was awarded 1,282 shares on February 24, 2017 as director's compensation for attending a board meeting of the issuer valued based on the closing price that day of \$1.56 per share.
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Date	No. of shares purchased	Per share price
13-Jan	600	\$ 1.60
19-Jan	1,000	\$ 1.70
25-Jan	500	\$ 1.80
27-Jan	1,000	\$ 1.75
1-Feb	1,000	\$ 1.70
1-Feb	500	\$ 1.67
1-Feb	1,128	\$ 1.75
2-Feb	200	\$ 1.70
2-Feb	100	\$ 1.61
2-Feb	133	\$ 1.65
9-Feb	100	\$ 1.55
9-Feb	112	\$ 1.60

Date	No. of shares purchased	Per share price
9-Feb	21	\$ 1.70
9-Feb	1,458	\$ 1.65
14-Feb	1,000	\$ 1.59
15-Feb	1,734	\$ 1.53
15-Feb	599	\$ 1.59
17-Feb	183	\$ 1.55
23-Feb	412	\$ 1.55
24-Feb	1,282	\$ 1.56
28-Feb	1,715	\$ 1.60
28-Feb	700	\$ 1.50
1-Mar	285	\$ 1.43
3-Mar	1,000	\$ 1.40

Item 6. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer.

None

Item 7. Material to Be Filed as Exhibits.

None

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

/s/ Ronald J. Berman

Ronald J. Berman

March 6, 2017
