

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.]	Issuer Name and Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
MacFarlane Katie					_				ls Inc. [V Director		1	09/ Owner	
(Last) (First) (Middle)				3.]	3. Date of Earliest Transaction (MM/DD/YYYY)						X _ Director10% Owner Officer (give title below) Other (specify below)				
C/O RESPIRERX					12/9/2017										
PHARMAC			., 126												
VALLEY RO	OAD, SU (Stre			4	If Ar	nendme	nt Date (Original F	iled (MM/I	DD/YYYY)	6. Individual of	or Joint/G	roun Filing	Check Appl	icable Line)
GLEN ROC	K. NJ 07	452					,	8		,	X Form filed l			·	,
	ity) (Sta		p)								Form filed by More than One Reporting Person				
			Table I -	Non-Dei	rivat	ive Secı	ırities A <i>c</i>	anired 1	Disnosed (of or Ren	eficially Own	ed			
				Date 2A. Deemed		3. Trans. Code (Instr. 8) 4. Se or Di		curities Acquired (A) 5. A sposed of (D) 5.		Amount of Securities Beneficially Owned ollowing Reported Transaction(s) sstr. 3 and 4)			Ownership of Form:	7. Nature of Indirect Beneficial	
							Code	V Am	ount (A) o	r Price					Ownership (Instr. 4)
	Tab	le II - Deri	ivative Se	curities l	Bene	ficially	Owned (<i>e.g.</i> , pu	ts, calls, w	arrants, o	options, conve	rtible sec	curities)		
(Instr. 3)	Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deemed Execution Date, if any	(Instr. 8)	s. Code 5. Numbe Derivative Acquired Disposed (Instr. 3, 4		e Securities (A) or of (D)	6. Date Expiration		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	Securities Beneficially Owned	Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Common Stock Options (to purchase shares of Common Stock)	\$1.45	12/9/2017		J (1)		38114		(1)	12/9/2022	Common Stock	38114	<u>(1)</u>	38114	D	
Common Stock Options (to purchase shares of Common Stock)	\$2							(2)	6/30/2022	Common Stock	25000		25000	D	
Common Stock Options (to purchase shares of Common Stock)	\$3.9							<u>(3)</u>	1/18/2022	Common Stock	25000		25000	D	
Common Stock Options (to purchase shares of Common Stock)	\$7.3775 ⁽⁴⁾							<u>(5)</u>	3/31/2021	Common Stock	30770 (4)		30770 (<u>4</u>)	D	
Common Stock Options (to purchase shares of Common Stock)	\$6.396 (4)							<u>(6)</u>	8/18/2022	Common Stock	9231 (4)		9231 (4)	D	
Common Stock Options (to purchase shares of Common Stock)	\$8.125 (4)							<u>(7)</u>	6/30/2022	Common Stock	6154 (4)		6154 ⁽⁴⁾	D	

Explanation of Responses:

- (1) On December 9, 2017, Ms. MacFarlane forgave all of the accrued but unpaid directors fees to which she was entitled as of September 30, 2017, an aggregate of \$55,000. On that date, the Company granted to Ms. MacFarlane options to purchase 38,114 shares of the Company's common stock, with a black-scholes value of \$1.44 per option and an aggregate value of \$55,000. These Common Stock Options vested upon issuance.
- (2) These Common Stock Options vested upon issuance.
- (3) These Common Stock Options vested in three installments: 25 percent on January 18, 2017 (the grant date), 25 percent on March 31, 2017, and 50 percent on June 30, 2017, and are all currently vested.
- (4) The exercise price and number of shares of these securities have been adjusted to reflect the Company's 325-to-1 reverse stock split effective September 1, 2016.

- (5) These Common Stock Options vested in four equal installments: 25 percent on March 31, 2016 (the grant date), 25 percent on June 30, 2016, 25 percent on September 30, 2016, and 25 percent on December 31, 2016, and are all currently vested.
- (6) These Common Stock Options vested in four equal installments: 25 percent on December 31, 2015, 25 percent on March 31, 2016, 25 percent on June 30, 2016, and 25 percent on September 30, 2016, and are all currently vested.
- (7) The Common Stock Options vested in three installments: 50 percent on June 30, 2015, 25 percent on September 30, 2015, and 25 percent on December 31, 2015, and are all currently vested.

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
MacFarlane Katie C/O RESPIRERX PHARMACEUTICALS INC. 126 VALLEY ROAD, SUITE C GLEN ROCK, NJ 07452	X						

Signatures

/s/ Kathryn MacFarlane 12/12/2017

***Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.