

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. I	2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
Margolis Jef	f Eliot				Re	spi	reRx I	Pharma	aceut	tical	ls Inc. [	RSPI ]		, ,				
U					3. I	3. Date of Earliest Transaction (MM/DD/YYYY)							X Director	. i 4141 - 11.		10% Owner		
G/O DEGDY	NEDW.							613	20/20	17			X_Officer (g			Other (speci	ry below)	
C/O RESPIE		LCINC	126					0/3	30/20	1/			, , , , , ,		,			
PHARMACEUTICALS INC., 126																		
VALLEY ROAD, SUITE C (Street)						f An	nendme	nt. Date (	Origin	al Fi	led (MM/E	6. Individual or Joint/Group Filing (Check Applicable Line)						
GLEN ROCK, NJ 07452						4. If Amendment, Date Original Filed (MM/DD/YYYY)							X Form filed by One Reporting Person					
(City) (State) (Zip)													Form filed by More than One Reporting Person					
			Table	I - Noi	n-Der	ivati	ive Secu	rities A	canire	ed. D	isposed (	of, or Ber	eficially Own	ed				
1.Title of Security			Table			Date 2A. Deemed 3. Trans. Code 4. Securities Acquired (A) 5.					Amount of Securities Beneficially Owned 6. 7. Na							
(Instr. 3)						Execution Date, if any					sposed of (D) : 3, 4 and 5)		ollowing Reported ( nstr. 3 and 4)			Ownership Form: Direct (D)	of Indirect Beneficial	
												<u> </u>	ы. <i>э</i> ана т <i>)</i>				Ownership (Instr. 4)	
								Code	V Amour		unt (A) or	r Price				(I) (Instr. 4)	(Instr. 1)	
								Couc	·	Allio	unt (D)	THEC				4)		
	Tab	le II - Deri	ivative	Secur	ities E	Bene	ficially	Owned (	( e.g. ,	puts	s, calls, w	arrants,	options, conve	rtible sec	urities)			
1. Title of Derivate	2.	3. Trans. Date				Code	5. Numbe		6. Date Exercisal				Amount of	Derivative Security	9. Number of derivative Securities	10. Ownership	11. Nature of Indirect	
Security (Instr. 3)	Conversion or Exercise Price of Derivative	Date	Executi Date, if		nstr. 8)		Acquired	erivative Securities equired (A) or		ation 1	Jaie	Securities Un Derivative S	Security			Form of	Beneficial	
						Disposed (Instr. 3,						(Instr. 3 and	d 4) (Instr. 5)		Beneficially Owned	Derivative Security:	Ownership (Instr. 4)	
	Security								Date		Expiration		Amount or		Following Reported	Direct (D) or Indirect		
					Code	v	(A)	(D)	Exerc	isable		Title	Number of Shares		Transaction(s) (Instr. 4)	(I) (Instr. 4)		
Common Stock Options (to purchase shares of	\$2	6/30/2017			A (1)		30000		(	1)	6/30/2022	common stock	30000	\$0	30000	I	By Trust	
Common Stock) Common Stock																		
Options (to purchase shares of Common Stock)	\$2	6/30/2017			<b>A</b> (1)		20000		(	<u>1)</u>	6/30/2022	common stock	20000	\$0	20000	I	By Spouse	
Common Stock Options (to										•	1/18/2022	common					By Trust	
purchase shares of Common Stock)	\$3.9								7	<u>2)</u>	1/10/2022	stock	35000		35000	I	(3)	
Common Stock																		
Options (to purchase shares of	\$3.9									<u>2)</u>	1/18/2022	common stock	15000		15000	I	By Trust (5)	
Common Stock)																		
Common Stock Warrants (right to buy)	\$6.9225 <u>(7)</u>								(	<u>8)</u>	9/30/2020	common stock	2423 (7)		2423 (7)	I	By Trust	
Common Stock Warrants (right to buy)	\$6.9225 ( <u>7</u> )								(	<u>8)</u>	9/30/2020	common stock	2423 (7)		2423 (7)	I	By Trust	
Common Stock Options (to	\$7.3775 <sup>(7)</sup>								(	<u>9)</u>	3/31/2021	common	40000 (7)		40000 (7)	I	By Trust	
purchase shares of Common Stock)	37.3773 —											stock	40000 —		40000		(3)	
Common Stock Options (to											3/31/2021	common					By Trust	
purchase shares of	\$7.3775								0	<u>9)</u>		stock	6154 (7)		6154 (7)	I	( <u>4)</u>	
Common Stock) Common Stock																		
Options (to purchase shares of Common Stock)	\$7.3775 (7)								C	<u>9)</u>	3/31/2021	common stock	21539 (7)		21539 (7)	I	By Trust	
Common Stock Options (to purchase shares of Common Stock)	\$7.3775 <u>(7)</u>								Ĺ	<u>9)</u>	3/31/2021	common stock	6154 (7)		6154 (7)	I	By Trust	
- Similar Stocky	<del> </del>	+	<b>!</b>	-+		1	ļ		+		-	-	+	-	-	-	<b> </b>	

	Tab	ole II - Deri	ivative Seco	urities B	ene	ficially Ow	ned (	e.g. , puts	s, calls, w	arrants, o	options, conve	ertible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date		4. Trans. (Instr. 8)	Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of	9. Number of derivative Securities Beneficially Owned	Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s)	Direct (D) or Indirect (I) (Instr. 4)	
Common Stock Options (to purchase shares of Common Stock)	\$6.396 <sup>(7)</sup>							(10)	8/18/2022	common stock	13847 (7)		13847 (7)	I	By Trust
Common Stock Options (to purchase shares of Common Stock)	\$6.396 <sup>(7)</sup>							(10)	8/18/2022	common stock	7693 <u>(7)</u>		7693 <u>(7)</u>	I	By Trust
Common Stock Options (to purchase shares of Common Stock)	\$6.396 <sup>(7)</sup>							(10)	8/18/2022	common stock	7693 (7)		7693 <u>(7)</u>	I	By Trust
Common Stock Options (to purchase shares of Common Stock)	\$6.396 <sup>(7)</sup>							(10)	8/18/2022	common stock	1539 (7)		1539 (7)	I	By Trust
Common Stock Options (to purchase shares of Common Stock)	\$8.125 <sup>(7)</sup>							(11)	6/30/2022	common stock	24616 <sup>(7)</sup>		24616 (7)	I	By Trust
Common Stock Options (to purchase shares of Common Stock)	\$8.125 <u>(7)</u>							(11)	6/30/2022	common stock	6154 (7)		6154 (7)	I	By Trust
Common Stock Options (to purchase shares of Common Stock)	\$8.125 (7)							(11)	6/30/2022	common stock	13847 (7)		13847 (7)	I	By Trust
Common Stock Options (to purchase shares of Common Stock)	\$8.125 <u>(7)</u>							(11)	6/30/2022	common stock	1539 (7)		1539 (7)	I	By Trust
Common Stock Options (to purchase shares of Common Stock)	\$16.25 (7)							(12)	7/17/2019	common stock	6994 <u>(7)</u>		6994 <u>(7)</u>	D	
Common Stock Options (to purchase shares of Common Stock)	\$16.25 (7)							(12)	7/17/2019	common stock	3077 (7)		3077 (7)	I	By Trust
Common Stock Options (to purchase shares of Common Stock)	\$16.25 (7)							(12)	7/17/2019	common stock	1119 (7)		1119 (7)	I	By Trust
Common Stock Options (to purchase shares of Common Stock)	\$16.25 (7)							(12)	7/17/2019	common stock	4196 (7)		4196 (7)	I	By Trust

## **Explanation of Responses:**

- (1) These awards were made to the reporting person or his designee and were issued to Trusts or his spouse at his direction for estate planning purposes. These Common Stock Options vested upon issuance.
- (2) These Common Stock Options vested in three installments: 25 percent on January 18, 2017 (the grant date), 25 percent on March 31, 2017, and 50 percent on June 30, 2017, and are all currently vested.
- (3) These securities are held in a trust for the benefit of Mr. Margolis's spouse. Mr. Margolis is a trustee of the trust.
- (4) These securities are held in trusts for the benefit of Mr. Margolis's children. Mr. Margolis's spouse is a trustee of these trusts. Mr. Margolis disclaims beneficial ownership of these securities, and the filing of this report is not an admission that Mr. Margolis is the beneficial owner of these securities for purposes of Section 16 or any other purpose.
- (5) These securities are held in trusts for the benefit of Mr. Margolis's children. Mr. Margolis is a trustee of these trusts. Mr. Margolis disclaims beneficial ownership of these securities, and the filing of this report is not an admission that Mr. Margolis is the beneficial owner of these securities for purposes of Section 16 or any other purpose.
- (6) These securities are held in a trust for the benefit of Mr. Margolis. Mr. Margolis's spouse is a trustee of the trust.
- (7) The exercise price and number of shares of these securities have been adjusted to reflect the Company's 325-to-1 reverse stock split effective September 1, 2016
- (8) These Warrants were acquired by Mr. Margolis and his spouse in his capacity as President of Aurora Capital LLC, which served as placement agent in the Company's unit offering with each unit consisting of one share of common stock and warrants to purchase two additional shares of common stock, and represent his share of the Placement Agent Warrants awarded at each closing of that transaction. The warrants are currently exercisable.
- (9) These Common Stock Options vested in four equal installments: 25 percent on March 31, 2016 (the grant date), 25 percent on June 30, 2016, 25 percent on September 30, 2016, and 25 percent on December 31, 2016, and are all currently vested.

- (10) These Common Stock Options vested in four equal installments: 25 percent on December 31, 2015, 25 percent on March 31, 2016, 25 percent on June 30, 2016, and 25 percent on September 30, 2016, and are all currently vested.
- (11) These Common Stock Options vested in three installments: 50 percent on June 30, 2015, 25 percent on September 30, 2015, and 25 percent on December 31, 2015, and are all currently vested and exercisable.
- (12) These Common Stock Options vested in three equal installments on July 17, 2014, September 30, 2014 and December 31, 2014, and are all currently vested.

**Reporting Owners** 

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Margolis Jeff Eliot C/O RESPIRERX PHARMACEUTICALS INC. 126 VALLEY ROAD, SUITE C GLEN ROCK, NJ 07452	X		VP, Treasurer and Secretary					

## **Signatures**

/s/ Jeff E. Margolis	7/5/2017			
**Signature of Reporting Person	Date			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.